

Liberty Mega Site

Project Title

Amount Requested

301 North Winstead Avenue, Rocky Mount, NC 27804
Phone: 252-442-7474 Toll Free: 888-684-8404
www.goldenleaf.org

Golden LEAF Open Grants Program LOI Certification and Signature Form

Your Letter of Inquiry ("LOI") will not be complete without submission of this signed form. This form may be scanned and submitted with your LOI. An incomplete LOI will be considered ineligible for review. A subsequent Letter of Inquiry for the same <u>project</u> may not be resubmitted for six (6) months following a decision by the Golden LEAF Board of Directors. Additional information will be required of the applicant if invited to submit a full application for further consideration. If you have questions prior to submitting this LOI, please contact a member of the Golden LEAF staff.

\$2,085,000

Date Submitte	ed (mm/dd/yyyy)	8/21/2014		
By signing below of the organiza following: the in LEAF will be us and, requests for	tion applying for aformation provide sed exclusively for r funding and all	ve are authorized representation this Golden LEAF grant d in this material is correct charitable, scientific, education.	. We further a and complete; t ational or other omitted to Gold	he authority to act on behalf gree and acknowledge the the funds granted by Golden tax-exempt public purposes; en LEAF are subject to the
For	City of Green			
Signature	In W.	Lyman	Date	8/19/2014
Name	Jim First	Middle/Initial	Westm	oreland
Title	City Manager	17200007 1100000	2.651	
Signature			Date	1 1
Name	First	Middle/Initial	Last	
Title				
•				

Liberty Mega Site

Priority Areas this Project Addresses:

- Job creation and Retention
- Other efforts to support and develop economic strength in tobacco-dependent, economically distressed, and/or rural communities

Project Overview

In 200 words or less, describe how the project will meet the priority area(s) checked above, and how it will benefit tobacco-dependent, economically distressed and/or rural communities and the people who live there. Describe how you will implement the project.

The proposed water/sewer infrastructure project will generate economic activity and facilitate new high-wage jobs in Greensboro and surrounding communities in two ways: short-term economic activity locally from water/sewer construction, and long-term job creation arising from the development of a mega-site just across the county border in Randolph County.

The City of Greensboro and Guilford County have a long history of tobacco farming. Greensboro is home to the Lorillard Tobacco Company, the nation's third largest tobacco company, and Guilford County ranks among the top third of North Carolina counties for flue-cured tobacco. Meanwhile, Greensboro ranks below North Carolina as a whole on several socio-economic measures: Greensboro's average household income is approximately \$42,000, while the state's is \$46,000; Greensboro's poverty rate is 18.8%, above the state's 16.6%. Economic indicators for Randolph County are similar: average household income is also approximately \$42,000 and the poverty rate is 17.1%.

On average, construction and manufacturing jobs are higher wage jobs than agricultural jobs, and would boost the overall local economy.

Greensboro will build water/sewer lines extending from existing infrastructure to the mega-site location. Greensboro will work concurrently with Randolph County to facilitate infrastructure needs, marketing and eventual development of the new mega-site.

Outcomes

In 150 words or less, describe what the project's outcomes. How will progress and success be measured?

The goal of Greensboro's proposed project is to provide the water and sewer infrastructure necessary to support the successful development of a mega-site just outside of Liberty Township in Randolph County. For utility installation, an Environmental Assessment (EA) is required thus an immediate success would be the issuance of a Finding of No Significant Impact (FONSI). To obtain a FONSI, intervening milestones will serve as progress monitors, including: environmental impact analysis, mitigation measures, public outreach efforts, and regulatory permitting.

Subsequent to the FONSI, this grant would also fund the design and permitting activities resulting in documents that could be advertised, bid and constructed in an accelerated time frame to meet the proposed mega-site development schedule in coordination with Randolph County. Future project milestones would include utility lines and pump station construction, site development of the mega-site, and continued marketing of the site to large manufacturers.

Words = 145

Sustainability

In 150 words or less, describe plans to sustain funding for your project beyond the grant.

The City of Greensboro operates an ongoing enterprise fund providing water and sewer service both inside and outside the corporate limits. As a part of its ongoing capital plan, the City has developed a cash flow scenario for additional project funding which would primarily be supported by the issuance of new debt which would ultimately be retired by ongoing user rates. The City remains in good standing with all three bond rating agencies, and has the ability to issue new debt subject to City Council approval. Issuance of new debt generally results in increased user rates and the City rates currently compare well with its peers.

In order to minimize the local rate impact, the City also anticipates future applications for available funding mechanisms beyond its own rate payer based resources. The City would then leverage its own financial capacity to fund the completion of its portion of the project.

Words = 150

Organizational History

In 150 words or less, briefly describe the organization's history, current programs and accomplishments. Include a short summary of the organization's mission, goals and objectives.

The City of Greensboro, population 272,000, is located in North Carolina's central piedmont, long known as the State's manufacturing center. Incorporated in 1808, Greensboro is also the seat of Guilford County. Historically, local industry has been characterized by textiles, apparel and tobacco. Greensboro operates in a Council-Manager form of government, providing the normal compliment of services, including a robust water and sewer utility.

Greensboro's four core values — honesty, integrity, stewardship and respect — reflect the community's historic ideals for its leadership. Greensboro has received multiple awards and recognitions, including several Gold and Silver Peak Performances awards from the National Association of Clean Water Agencies; the Partnership for Safe Water Phase III Directors Award (for both the Mitchell and Townsend Water Treatment Plants); and, for 30 consecutive years, the Certificate of Achievement for Excellence in Financial Reporting from the Government Finance Officers Association of the United States and Canada.

Words = 147

Golden LEAF

Project Budget Form

Applicant Organization: City of Greensboro Project Title: Liberty Mega-Site

			Pr	oject funding sou	Project funding source(s) and budget(s)	(s
Budget category	Total project	Golden LEAF	Name of funding	Name of funding	Name of funding Name of funding Name of funding Name of funding	Name of funding
)	budget		source 2	source 3	source 4	source 5
Environmental Assessment Regulatory Permitting Preliminary Design Survey Geotechnical Evaluation Final Design Project Permitting Project Management	\$325,000.00 \$60,000.00 \$125,000.00 \$140,000.00 \$800,000.00 \$60,000.00 \$50,000.00	\$325,000.00 \$60,000.00 \$125,000.00 \$140,000.00 \$800,000.00 \$60,000.00 \$50,000.00				
	\$2,085,000.00	\$2,085,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Funding proposals pending with:	Amount requested	Disposition date		In-kind contributor		In-kind \$ value
	·					

www.goldenleaf.org

Project Budget Form

Budget Narrative Guidelines

The budget for this project is not complete without an accompanying budget narrative. The budget narrative should:

- ✓ Correspond with the dollar amounts and information on the budget form;
- \checkmark Show how the amounts were derived and how the line item relates to project outcomes;
- 🗸 Provide a brief description for each item that acurately characterizes how funds are to be used--whether to be funded by Golden LEAF or not -- please contact Golden LEAF staff for guidance on Golden LEAF-allowed expenses;
 - \checkmark Organize the information so that it is easy to follow and understand; and,
- / Be mathematically correct.

(will paste final version HERE) REFER TO SEPARATE ATTACHMENT titled "Budget Narrative"



Name of funding source 6 \$0.00 \$0.00



Project Budget Form

Budget Narrative (to be inserted into Excel budget form when Final)

Environmental Assessment - \$325,000

An Environmental Assessment (EA) will be prepared using NC Department of Environment and Natural Resources (NC DENR) guidance documentation. The EA includes a description of the project, existing systems and conditions, proposed changes, project service area, a quantitative justification of proposed improvements, alternatives analysis, existing environmental characteristics of project area, predicted environmental effects of project, and mitigation measures. The EA effort also includes agency coordination and response to comments, with the ultimate goal of obtaining a Finding of No Significant Impact (FONSI).

Regulatory Permitting - \$60,000

The regulatory permits and authorizations that are likely to be required for the project include 404/401 Permit/Certification, compliance with Randleman Lake Water Supply Watershed Buffer Rule, compliance with State Stormwater Regulations, Section 404 Permit Review United States Fish and Wildlife Service, and Federal Emergency Management Agency Approval.

Preliminary Design - \$125,000

The purpose of the preliminary design phase is to determine where within the established corridor the proposed pipelines will be installed (i.e. which side of the road). This needs to be established prior to survey, as the survey limits will be reduced to just one side of the road to reduce the survey costs. This task will also include pinpointing the location of the proposed wastewater pump station site. A significant part of the preliminary design task is the Subsurface Utility Engineering work.

Survey - \$525,000

Field surveys will be conducted along the proposed alignment for the waterlines, wastewater force main, and wastewater pump station site. Survey services will include a 40-foot wide topographic survey along the selected pipeline route as required to develop the construction drawings. Where the proposed utilities are to be installed outside existing road right-of-way, easement maps will be prepared per the City's requirements.

Geotechnical - \$140,000

Geotechnical investigations will be completed along the proposed alignment for the waterlines, wastewater force main, and wastewater pump station site. The scope includes obtaining a soil boring every 1,000-feet along the alignments and two at the proposed pump station site to determine general soil properties and determine the presence of rock.

Final Design - \$800,000

Final design drawings and specifications will be developed for the proposed waterlines, wastewater force main, and wastewater pump station. These documents will be prepared to show the scope, extent, and character of the work to be furnished and performed by the Contractor for construction of the work elements described.

Design Permitting - \$60,000

Technical criteria, written descriptions, design data, drawings, and specifications will be provided for filing applications for permits with the authorities having jurisdiction to approve the design of the project. The required permits include Non-Discharge Permit, Authorization to Construct, Sedimentation and Erosion Control Plan, NCDOT Encroachment Agreement, Williams Gas Pipeline Encroachment

Agreement, Duke Energy Encroachment Agreement, Railroad Encroachment Agreement, and Local Planning / Zoning Approvals.

Project Management - \$50,000

Project management and administration activities will be performed throughout the duration of the project, including maintaining a project filing system for storage and retrieval of documents, meetings, accounting / grant funding management, scheduling, and on-going quality assurance and quality control procedures. Project management activities will coordinate and streamline the overall project.

Derivation of Budget

Each line item represents a task associated with completion of the overall project. The individual budgets were developed from a detailed scoping effort for Professional Engineering Services based on standard industry practice.

Relationship to Project Outcomes

This grant would fund the environmental, design and permitting activities (as detailed above) resulting in documents that could be advertised, bid and constructed in an accelerated time frame to meet the proposed mega-site development schedule in coordination with Randolph County.



301 North Winstead Avenue, Rocky Mount, NC 27804 Phone: 252-442-7474 Toll Free: 888-684-8404 www.goldenleaf.org

Open Grants Program Certification and Signature Form

Established in 1999, Golden LEAF was created to manage one-half of North Carolina's share of funds from the Master Settlement Agreement with tobacco companies. The Foundation supports tobacco-dependent, economically distressed and/or rural communities in North Carolina working to transition to more prosperous and stable economies. Golden LEAF has an open door policy and encourages individuals to contact the Foundation to discuss project ideas or other issues before submitting an application.

Project Title	Liberty Meg	ga Site	
Amount Requ	iested	\$2,085,000	
Date Submitt	ed (mm/dd/yyyy)	10/17/2014	_
invited by Go without all a	olden LEAF to s attachments outli	ubmit a complete applica	l a Letter of Inquiry and has been tion. Application is not complete lines and Checklist, and without organization.
By signing below of the organization following: The LEAF will be up and, requests for the significant of the	ation applying for information provide used exclusively for or funding and all :	ve are authorized representative this Golden LEAF grant. We ad in this material is correct and charitable, scientific, education	s and have the authority to act on behalf ye further agree and acknowledge the d complete; the funds granted by Golden hal or other tax-exempt public purposes; ted to Golden LEAF are subject to the
For	City of Green: Applicant Organ		
Signature	Em R.W	exemposeene.	Date 10/15/14
Name	Jim	R	Westmoreland
	First	Middle/Initial	Last
Title	City ₁ Manager		
Signature	- Steven	O Chenr	Date 6 1151 [4-
Name	Steven	D,	Drew
	First	Middle/Initial	Last
Title	Director of Wa	nter Resources	

Please complete all items in this application. The application may be completed by hand in ink, by typewriter, or produced by word processor using this application form. Do not use any colored text. You must submit the original and one copy. This application must be accompanied by the attachments outlined in the Grant Guidelines and Checklist.



JOHNATHAN L. RHYNE, JR. CHAIRMAN OF THE BOARD

August 21, 2014

DAN GERLACH PRESIDENT

Mr. Andrew S. Scott
Assistant City Manager
City of Greensboro
P. O. Box 3136
Greensboro, North Carolina 27402

Dear Mr. Scott:

Thank you for submitting a Letter of Inquiry to the Golden LEAF Foundation for your project, "Liberty Mega-Site." We appreciate the opportunity to learn about your efforts and the potential impact this project has for the communities you serve and our State.

In order to continue reviewing your request, we must receive the certification and signature page with two authorized signatures, which is required under our grant guidelines.

Please forward this information to us by September 4, 2014 to allow your application to move forward in the review process.

If you have questions related to this request for more information, please contact Ted Lord, Terri Bryant, Barbara Smith, or Debbie Pennington at 888-684-8404.

We look forward to learning more about your project.

Dan Gerlach

President

DG:dp



BOARD OF DIRECTORS

October 2, 2014

JOHNATHAN L. RHYNE, JR. CHAIR

LINCOLNTON, NC

BRITT COBB RALEIGH, NC

S. LAWRENCE DAVENPORT GREENVILLE, NC

BARRY Z. DODSON STONEVILLE, NC

DON FLOW WINSTON-SALEM, NC

CLAUDINE GIBSON ROBBINSVILLE, NC

BILLY RAY HALL ANGIER, NC

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CAROLYN H. JUSTICE HAMPSTEAD, NC

DAVID ROSE NASHVILLE, NC

RALPH N. STRAYHORN, III CHARLOTTE, NC

TOM TAFT GREENVILLE, NC

JEROME VICK WILSON, NC

PRESIDENT DAN GERLACH Mr. Andrew S. Scott Assistant City Manager City of Greensboro

andrew.scott@greensboro-nc.gov

Dear Mr. Scott:

The Board of Directors of the Golden LEAF Foundation has invited you to submit a full proposal for the project, "Liberty Mega-Site."

To submit an application, you may complete the Microsoft Word application attached to this letter. Instructions for submitting the application are included in the application document. If you submitted your Letter of Inquiry using our online application system, you may continue to use the online process here. This link is only available to those who have been invited to submit an application. Please do not share it. If you have any trouble accessing this link, please let us know.

We will work to bring your application to the Board for consideration as soon as possible; however, we must have sufficient time to conduct due diligence. If you submit your completed application to us no later than October 23, 2014, we assure review at the Board's meeting to be held December 4, 2014. If you do not submit the application to us by October 23, 2014, we will still use our best efforts to have the proposal before the Board as quickly as possible, and hopefully in December.

Because time is short, we want to be certain you have received this e-mailed letter. Please acknowledge receipt by return e-mail to Brenda Smith at bsmith@goldenleaf.org.

This invitation to submit a full proposal indicates interest in learning more about a project. It does not imply a grant will be awarded. Please let Ted Lord, Barbara Smith, Terri Bryant or me know if you have any questions regarding this process. We look forward to learning more about your project.

Sincerely,

Dan Gerlach President

DG:bs

cc: Steven Drew, Director of Water Resources (steve.drew@greensboro-nc.gov)

Westmoreland, Jim

From:

Scott, Andrew

Sent:

Tuesday, October 07, 2014 9:38 AM

To:

bsmith@goldenleaf.org

Cc:

Westmoreland, Jim; Drew, Steve; Knosby, Mary

Subject:

Golden LEAF Foundation / Liberty Mega Site

Dear Ms. Smith

This email will acknowledge the receipt of your letter of October 2 inviting the City of Greensboro to submit an application to the Golden LEAF foundation for the Liberty Mega Site. Greensboro very much appreciates this opportunity and we thank you and your colleges for your consideration. The Liberty Mega Site represents a major investment in the future of the Piedmont Triad. We are in process of completing the application and will submit it to you no later than October 23, 2014.

For the City of Greensboro

Andrew S. Scott, III



Andrew S. Scott, III
Assistant City Manager, Economic Development
City of Greensboro, North Carolina
andrew.scott@greensboro-nc.gov
Office 336 373 2028

Office 336.373.2028 Fax 336.373.2117

Westmoreland, Jim

From:

Scott, Andrew

Sent: To: Friday, October 17, 2014 2:06 PM

Subject:

Mary Knosby P. E.; Westmoreland, Jim; Drew, Steve Fwd: Your Golden LEAF Application Submission

Andy Scott

Begin forwarded message:

From: Golden LEAF Programs Staff < mail@grantapplication.com >

Date: October 17, 2014 at 12:39:35 PM CDT

To: "Scott, Andrew" < Andrew.Scott@greensboro-nc.gov > Subject: Your Golden LEAF Application Submission

Reply-To: Golden LEAF Programs Staff programs@goldenleaf.org>

Thank you for your submission. Your application has been received, and the tracking number is 35984.

We will let you know if we have any questions or need any additional information. For your records, here is a copy of the contents of your application.

Organization

Project Title (Name of project.) Liberty Mega-Site

Request Amount

(Enter exact amount requested from Golden LEAF with currency symbol, commas and decimal point, e.g. \$1,000.00.) \$2,085,000.00

A. Name and address of the organization applying for grant

Organization Name (Please punctuate abbreviations.) City of Greensboro

Tax ID

Mailing Address P. O. Box 3136 Greensboro, NC 27402 City (Enter full city name.) Greensboro State North Carolina Zip Code 27402 County of Applicant Guilford Phone (###-###-###) 336-373-2002 Fax (###-###-###) Web site

B. Project Director (person responsible for implementing the project, if different from contact in LOI)

Title
(Ms., Mr., Mrs., Dr., etc.)
Mr.
Project Contact's First Name
Andrew

http://www.greensboro-nc.gov

Project Contact's Middle Initial

S. Project Contact's Last Name Scott Position Assistant City Manager Mailing Address One Governmental Plaza PO Box 3136 City (Enter full city name.) Greensboro State North Carolina Zip Code 27406 Office Phone (###-###-###) 336-373-2002 Extension Fax (###-###-###) E-mail Address

(Please punctuate.)

C. Fiscal Information

andrew.scott@greensboro-nc.gov

Organization's Fiscal Year (ex. begins mm/dd/yyyy)

07/01/2014

Date of Incorporation (mm/dd/yyyy) 01/01/1808

Total Annual Operating Budget

(Enter with currency symbol, commas and decimal point, e.g. \$1,000.00.) \$191,778,712.00

Total Current Endowment or Reserve Amount (Enter with currency symbol, commas and decimal point, e.g. \$1,000.00.) \$40,326,796.00

Project Outcomes and Impact

A. Expected economic impact and outcomes

(Provide estimated numbers for any that apply. Give a detailed explanation in the required, one-page description of project outcomes.)

Number New Jobs Created

(This number of jobs would be created.)

Number of Jobs Retained

This number of jobs would have been lost. (This is not the number of jobs that remain as a result of ongoing operations.)

Number of Worker Skills Upgraded

This number of workers would be eligible for a pay increase, a promotion or a higher-level position.

New Investment Amount

Dollars of investment - other than Golden LEAF funds - in new machinery and buildings. (Enter with currency symbol, commas and decimal point, e.g. \$1,000.00)

Tax Base Increase Amount

New plant, property, equipment, incremental sales and hotel stays that can be taxed by the municipality and/or county. (Enter with currency symbol, commas and decimal point, e.g. \$1,000.00)

Increased Payroll Amount

The payroll would increase by this amount based on the new jobs created and/or new skills develope
Farmers Assisted or Educated The number of farmers expected to attend field days, workshops, conferences, etc.
Farmers in New Production The number of new farmers in production and/or number of farmers growing new crops/livestock.)
New Farm Acres in Production The number of additional acres that would be in production as a result of this project.
People Served The number of people expected to attend classes, seminars, conferences, meetings, etc. that are not included in other categories.
Other Outcomes
Other Outcomes
Other Outcomes
Other Outcomes
B. Geographic area in North Carolina this project will serve
(Where will project work be conducted? Please select one and list all areas directly impacted by the project.)

Service Area/Region

Multi-County

Geographic Area Served
(List counties or communities directly impacted by the project.)
Guilford
Randolph

C. Grant Timeframe

(Period of time over which Golden LEAF funds will be used.)

Grant Begin Date 01/01/2015

Grant End Date 09/30/2016

Grant Term (Enter the number of months only, e.g. 12.) 21

D. Project Timeframe

(Enter the complete length of the project, which may exceed the grant timeframe.)

Project Begin Date 01/01/2015

Program End Date 09/30/2016

Program Term (Enter number of months only, e.g. 12.) 21

Attachments

TitleBoard of Directors
Staff
Certification and Signature
Form
Project Management Plan

File Name
Board of Directors.docx
Project Staff.docx
Certification and Signature
Form Greensboro.pdf
Project Management Plan.docx

(Work Plan/Timeline) Evidence of Tax-Exempt

Status

Evidence of Tax-Exempt Status.docx

Organization's Budget for the

Current Year

Budget for Current Year.docx

Organization's Budget for the

Period Covered by the Grant

Request

Budget for the Period covered by the Grant

Request.docx

Most Recent Fiscal Year-End

Financial Statement

Other

Comprehensive Annual Financial Report CAFR FY12-13.pdf

Community-County Partners.docx

Financial Statements-Narrative.docx

Community/County Partners

(as applicable)

Business Plan/Strategic Plan/Feasibility Study (as

applicable)

Other

Business Plan-Strategic Plan-Feasibility

Studies.docx

Economic Impact Analysis of an Auto Assembly

Plant.pdf

PTP NC Mega Site.pdf Other

Summary

Other MEMO Timmons Randolph Site Eval 2014.pdf

Major Contributors.docx Major Contributors

Total Revenue by Major Type FY14-15.pdf Other

Narrative Proposal.docx Narrative Proposal

Files attached to this form may be deleted 120 days after submission.



JOHNATHAN L. RHYNE, JR. CHAIRMAN OF THE BOARD

October 22, 2014

DAN GERLACH PRESIDENT



OCT 27 2014

City Manager's Office

Mr. Andrew S. Scott Assistant City Manager City of Greensboro P. O. Box 3136 Greensboro, North Carolina 27402

Dear Mr. Scott:

Thank you for submitting a full proposal to the Golden LEAF Foundation for your project, "Liberty Mega-Site." We appreciate the opportunity to learn about your efforts and the potential impact this project has for the communities you serve and our State.

Based on an initial review, your application package is complete. If we find we need additional information, we will contact you. Should you have any questions, please feel free to call.

Sincerely

Dan Gerlach President

DG:dp



JOHNATHAN L. RHYNE, JR. CHAIRMAN OF THE BOARD

December 9, 2014

DAN GERLACH PRESIDENT

RECEIVED

DEC 11 2014

City Manager's Office

Mr. Andrew S. Scott Assistant City Manager City of Greensboro P. O. Box 3136 Greensboro, North Carolina 27402

Dear Mr. Scott:

We are writing in response to your request for funding towards your project "Liberty Mega-Site."

At its meeting on December 4, 2014 our Board of Directors deferred action on the request to allow time to gather further information about the project. Our programs staff will be in touch with you regarding any additional documentation or information needed to expand our understanding of your project.

If you have any questions, please do not hesitate to contact Ted Lord or me at 888-684-8404. Please understand that further review of your proposal does not assure approval of a grant. It does assure, however, thoughtful evaluation of your project, along with many other meritorious appeals.

Sincerely,

Dan Gerlach President

DG:bs

cc: Steven Drew, Director of Water Resources



JOHNATHAN L. RHYNE, JR. CHAIRMAN OF THE BOARD

February 9, 2015

DAN GERLACH PRESIDENT

Mr. Andrew S. Scott Assistant City Manager City of Greensboro P. O. Box 3136 Greensboro, North Carolina 27402

Dear Mr. Scott:

We are writing in response to your request for funding towards your project "Liberty Mega-Site."

At its meeting on February 5, 2015 our Board of Directors deferred action on the request to allow time to gather further information about the project. Our programs staff will be in touch with you regarding any additional documentation or information needed to expand our understanding of your project.

If you have any questions, please do not hesitate to contact Ted Lord or me at 888-684-8404. Please understand that further review of your proposal does not assure approval of a grant. It does assure, however, thoughtful evaluation of your project, along with many other meritorious appeals.

Sincerely,

Dan Gerlach

Best of Luck

President

DG:bs

cc: Mr. Steven Drew, Director of Water Resources